



General Assembly

January Session, 2007

Raised Bill No. 7000

LCO No. 3747

03747_____GAE

Referred to Committee on Government Administration and Elections

Introduced by:
(GAE)

AN ACT CONCERNING MUNICIPAL ETHICS AND MUNICIPAL LOBBYING.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. (NEW) (*Effective July 1, 2007*) As used in sections 1 to 7,
2 inclusive, of this act:

3 (1) "Business" means any entity through which business for profit or
4 not-for-profit is conducted, including a corporation, partnership,
5 proprietorship, firm, enterprise, franchise, association, organization or
6 self-employed individual.

7 (2) "Business with which he or she is associated" means a business of
8 which a public official or public employee or a member of his or her
9 immediate family is a director, officer, owner, employee, compensated
10 agent or holder of stock which constitutes five per cent or more of the
11 total outstanding stock of any class.

12 (3) "Confidential information" means information, whether
13 transmitted orally or in writing, which is obtained by reason of the
14 public position or office held and is of such nature that it is not, at the

15 time of transmission, a matter of public record or public knowledge.

16 (4) "Financial interest" means any interest with a monetary value of
17 one hundred dollars or more or which generates a financial gain or
18 loss of one hundred dollars or more in a calendar year.

19 (5) "Gift" means anything of value, including entertainment, food,
20 beverage, travel and lodging given or paid to a public official or public
21 employee to the extent that consideration of equal or greater value is
22 not received. A gift does not include:

23 (A) A political contribution otherwise reported as required by law
24 or a donation or payment as described in subdivision (9) or (11) of
25 subsection (b) of section 9-601a of the general statutes;

26 (B) Services provided by persons volunteering their time for a
27 political campaign;

28 (C) A commercially reasonable loan made on terms not more
29 favorable than loans made in the ordinary course of business;

30 (D) A gift received from (i) an individual's spouse, fiance or fiancée,
31 (ii) the parent, brother or sister of such spouse or such individual, or
32 (iii) the child of such individual or the spouse of such child;

33 (E) Goods or services which are provided to the municipality or
34 district and facilitate governmental action or functions;

35 (F) A certificate, plaque or other ceremonial award costing less than
36 one hundred dollars;

37 (G) A rebate or discount on the price of anything of value given in
38 the ordinary course of business without regard to the recipient's status;

39 (H) Printed or recorded informational material germane to
40 governmental action or functions;

41 (I) Items of nominal value, not to exceed ten dollars, containing or

42 displaying promotional material;

43 (J) An honorary degree bestowed upon a public official or public
44 employee by a public or private university or college;

45 (K) A meal provided at an event or the registration or entrance fee
46 to attend such an event, in which the public employee or public official
47 participates in said person's official capacity;

48 (L) A meal provided in the home by an individual who resides in
49 the municipality or district; or

50 (M) Gifts in-kind of nominal value not to exceed twenty-five dollars
51 tendered on gift-giving occasions generally recognized by the public
52 including Christmas, Hanukkah, birthdays, the birth or adoption of a
53 child, weddings, confirmations or bar or bat mitzvahs, provided the
54 total value of such gifts in any calendar year do not exceed fifty
55 dollars.

56 (6) "Immediate family" means any spouse, child or dependent
57 relative who resides in the individual's household.

58 (7) "Individual" means a natural person.

59 (8) "Municipality" means a town, city or borough.

60 (9) "Official responsibility" means the direct administrative or
61 operating authority, whether intermediate or final and whether
62 exercisable personally or through subordinates, to approve,
63 disapprove or otherwise direct government action.

64 (10) "Person" means an individual, sole proprietorship, trust,
65 corporation, union, association, firm, partnership, committee, club or
66 other organization or group of persons.

67 (11) "Personal interest" means an interest in any action taken by the
68 municipality or district in which an individual will derive a
69 nonfinancial benefit or detriment but which will result in the

70 expenditure of municipal funds.

71 (12) "Public employee" means a person employed, whether full or
72 part time, by a municipality or a district.

73 (13) "Public official" means an elected or appointed official, whether
74 paid or unpaid or full or part time, of a municipality or political
75 subdivision thereof, or a district, including candidates for the office
76 and shall also include a district officer elected pursuant to section 7-327
77 of the general statutes.

78 (14) "District" means a district established pursuant to section 7-324
79 of the general statutes.

80 (15) "Paid consultant" means a person, firm or corporation hired by
81 a municipality or district to provide services to the municipality or
82 district for a fee.

83 Sec. 2. (NEW) (*Effective July 1, 2007*) (a) Notwithstanding the
84 provisions of any special act, municipal charter or ordinance, not later
85 than January 1, 2008, each municipality and district shall adopt a code
86 of ethical conduct for its public officials, public employees and paid
87 consultants. Each such code of ethical conduct shall include the
88 provisions set forth in sections 3 to 5, inclusive, of this act or stricter
89 ethical provisions for such public officials, public employees and paid
90 consultants.

91 (b) Any municipality or district that adopted a code of ethical
92 conduct before July 1, 2007, shall not be required to adopt a new code
93 of ethical conduct under subsection (a) of this section. However, if any
94 such existing code of ethical conduct does not include the provisions
95 set forth in sections 3 to 5, inclusive, of this act or stricter ethical
96 provisions for the municipality's or district's public official, public
97 employees and paid consultants, the municipality or district shall, not
98 later than January 1, 2008, amend its code of ethical conduct to include
99 the provisions set forth in said sections 3 to 5, inclusive, or stricter

100 ethical provisions for such persons.

101 Sec. 3. (NEW) (*Effective July 1, 2007*) Each code of ethical conduct
102 adopted by a municipality or district under subsection (a) of section 2
103 of this act shall include the following or stricter provisions for the
104 municipality's or district's public officials and public employees:

105 (1) No public employee or public official shall engage in or
106 participate in any business or transaction, including outside
107 employment with a private business, or have an interest, direct or
108 indirect, that is incompatible with the proper discharge of the
109 employee's or official's responsibilities in the public interest or that
110 would tend to impair the employee's or official's independent
111 judgment or action in the performance of the employee's or official's
112 responsibilities.

113 (2) (A) No public employee or public official shall solicit or accept
114 any gift from any person that, to the employee's or official's
115 knowledge, is interested in any pending matter within such
116 individual's official responsibility.

117 (B) If a prohibited gift is offered, the employee or official shall refuse
118 it, return it, pay the donor the full value of the gift or donate it to a
119 nonprofit organization, provided the employee or official does not take
120 the corresponding tax deduction or credit. Alternatively, the gift may
121 be deemed to be a gift to the municipality or district, provided it
122 remains in the municipality's or district's possession permanently.

123 (3) (A) A public employee or public official shall refrain from voting
124 upon or otherwise participating in any matter on behalf of the
125 municipality or district if the employee or official, a business with
126 which he or she is associated, or a member of his or her immediate
127 family, has a financial or personal interest in the matter, including, but
128 not limited to, the sale of real estate, material, supplies or services to
129 the municipality or district.

130 (B) If such participation is within the scope of the public employee's
131 or public official's official responsibility, the employee or official shall
132 provide written disclosure, which sets forth in detail the nature and
133 extent of such interest.

134 (C) Notwithstanding the prohibition in subparagraph (A) of this
135 subdivision, a public employee or public official may vote or otherwise
136 participate in a matter that involves a determination of general policy
137 if the employee's or official's interest in the matter is shared with a
138 substantial segment of the population of the municipality or district.

139 (4) In addition to the restrictions in subdivision (3) of this section, a
140 public employee who serves on any governmental body of a
141 municipality or district shall not vote or participate on any matter
142 involving, for the department or program employing such public
143 employee, (A) collective bargaining, (B) compensation or benefits, (C)
144 personnel policies and procedures, (D) the budget, other appropriation
145 or capital funding, or (E) employment, compensation, benefits or
146 performance of personnel, unless such public employee is permitted to
147 vote or participate pursuant to the provisions of a municipal charter or
148 home rule ordinance.

149 (5) (A) Except for a public official who receives no compensation for
150 service to the municipality or district other than per diem payments
151 and reimbursement of expenses, no public employee or public official
152 shall appear on behalf of private interests before any board, agency or
153 committee of the municipality or district.

154 (B) Except for a public official who receives no compensation for
155 service to the municipality or district other than per diem payments
156 and reimbursement of expenses, no public employee or public official
157 shall represent private interests against the interest of the municipality
158 or district in any litigation to which the municipality or district is a
159 party.

160 (6) Nothing in this section shall prohibit or restrict a public

161 employee or public official from appearing before any board or
162 commission of the municipality or district on the employee's or
163 official's own behalf, or from being a party in any action, proceeding or
164 litigation brought by or against the public employee or public official
165 to which the municipality or district is a party.

166 (7) No public employee or public official shall disclose confidential
167 information concerning municipal affairs, nor shall a public employee
168 or public official use such information for the financial interests of the
169 employee or official or others.

170 (8) No public employee or public official shall request or permit the
171 use of municipally-owned vehicles, equipment, facilities, materials or
172 property for personal convenience or profit, except when such are
173 available to the public generally or are provided as municipal policy
174 for the use of such public employee or public official in the conduct of
175 official business.

176 (9) No public employee or public official, or a business with which
177 he or she is associated, or a member of his or her immediate family
178 shall enter into a contract with the municipality or district unless the
179 contract is awarded through a process of public notice and competitive
180 bidding.

181 (10) No public employee or public official may use the employee's
182 or official's position or office for the financial benefit of the employee
183 or official, a business with which he or she is associated or a member
184 of his or her immediate family.

185 (11) No public employee or public official shall accept a fee or
186 honorarium for an article, appearance or speech, or for participation at
187 an event, in the employee's or official's official capacity.

188 (12) No public employee or public official, or member of such
189 individual's immediate family or business with which he or she is
190 associated, shall solicit or accept anything of value, including, but not

191 limited to, a gift, loan, political contribution, reward or promise of
192 future employment based on any understanding that the vote, official
193 action or judgment of the public employee or public official would be
194 or had been influenced thereby.

195 (13) No public employee, public official or candidate for elected
196 office of a municipality or district shall engage in political activity
197 while on municipal or district duty or within any period of time
198 during which such person is expected to perform services for which
199 such person receives compensation from the municipality or district,
200 or utilize municipal or district funds, supplies, vehicles or facilities for
201 the purpose of any such political activity.

202 Sec. 4. (NEW) (*Effective July 1, 2007*) Each code of ethical conduct
203 adopted by a municipality or district under subsection (a) of section 2
204 of this act shall include the following or stricter provisions for the
205 municipality's or district's paid consultants:

206 (1) No paid consultant of a municipality or district shall represent a
207 private interest in any action or proceeding against the interest of the
208 municipality or district that is in conflict with the performance of said
209 person's duties as a consultant.

210 (2) No paid consultant may represent anyone other than the
211 municipality or district concerning any matter in which the consultant
212 participated personally and substantially as a consultant to the
213 municipality or district.

214 (3) No paid consultant shall disclose confidential information
215 learned while performing the consultant's duties for the municipality
216 or district nor shall the consultant use such information for the
217 financial interests of the consultant or others.

218 Sec. 5. (NEW) (*Effective July 1, 2007*) Each code of ethical conduct
219 adopted by a municipality or district under subsection (a) of section 2
220 of this act shall include the following or stricter provisions for the

221 municipality's or district's former public officials and public
222 employees:

223 (1) No former public employee or public official shall appear for
224 compensation before any municipal or district board or agency in
225 which the employee or official was formerly employed at any time
226 within a period of one year after termination of the employee's or
227 official's service with the municipality or district.

228 (2) No former public employee or public official shall represent
229 anyone other than the municipality or district concerning any
230 particular matter in which the employee or official participated
231 personally and substantially while in municipal service.

232 (3) No former public employee or public official shall disclose or use
233 confidential information acquired in the course of and by reason of the
234 employee's or official's official duties, for financial gain for himself or
235 herself or others.

236 (4) No former public employee or public official who participated
237 substantially in the negotiation or award of a municipal or district
238 contract obliging the municipality or district to pay an amount of one
239 hundred thousand dollars or more, or who supervised the negotiation
240 or award of such a contract shall accept employment with a party to
241 the contract other than the municipality or district for a period of one
242 year after such contract is signed.

243 Sec. 6. (NEW) (*Effective July 1, 2007*) Not later than January 1, 2008,
244 each municipality and district that has not established an agency to
245 investigate allegations of unethical conduct, corrupting influence or
246 illegal activities against public officials, public employees and paid
247 consultants of the municipality or district, pursuant to section 7-148h
248 of the general statutes, as amended by this act, shall establish a
249 procedure for investigating and deliberating on such allegations. Two
250 or more municipalities or districts may jointly establish such
251 procedure.

252 Sec. 7. (NEW) (*Effective July 1, 2007*) Not later than January 1, 2008,
253 each municipality and district that has not required public officials and
254 public employees to disclose their financial interests shall establish a
255 policy for such disclosure. Such policy shall list the offices or positions
256 for which such disclosure shall be required and shall set forth the
257 scope and manner of such disclosure.

258 Sec. 8. (NEW) (*Effective July 1, 2007*) (a) Not later than January 15,
259 2008, each municipality and district shall submit a report to the Office
260 of State Ethics stating whether the municipality or district has
261 complied with each of the applicable requirements of sections 2 to 7,
262 inclusive, of this act.

263 (b) Not later than March 1, 2008, the Office of State Ethics shall
264 submit a report to the joint standing committee of the General
265 Assembly having cognizance of matters relating to ethics. Said report
266 shall (1) indicate the status of the compliance of each municipality and
267 district with the applicable requirements of sections 2 to 7, inclusive, of
268 this act, and (2) if any municipality or district has not complied with
269 any such requirement, make recommendations for securing such
270 compliance, including, but not limited to, proposed legislation.

271 Sec. 9. (NEW) (*Effective July 1, 2007*) In each odd-numbered year, the
272 Office of State Ethics shall conduct training on ethical issues affecting
273 public officials and public employees of municipalities and districts.

274 Sec. 10. Subdivision (10) of subsection (c) of section 7-148 of the
275 general statutes is repealed and the following is substituted in lieu
276 thereof (*Effective July 1, 2007*):

277 (10) (A) Make all lawful regulations and ordinances in furtherance
278 of any general powers as enumerated in this section, and prescribe
279 penalties for the violation of the same not to exceed two hundred fifty
280 dollars, unless otherwise specifically provided by the general statutes.
281 Such regulations and ordinances may be enforced by citations issued
282 by designated municipal officers or employees, provided the

283 regulations and ordinances have been designated specifically by the
284 municipality for enforcement by citation in the same manner in which
285 they were adopted and the designated municipal officers or employees
286 issue a written warning providing notice of the specific violation
287 before issuing the citation;

288 [(B) Adopt a code of ethical conduct;]

289 [(C)] (B) Establish and maintain free legal aid bureaus;

290 [(D)] (C) Perform data processing and related administrative
291 computer services for a fee for another municipality;

292 [(E)] (D) Adopt the model ordinance concerning a municipal
293 freedom of information advisory board created under subsection (f) of
294 section 1-205 and establish a municipal freedom of information
295 advisory board as provided by said ordinance and said section.

296 Sec. 11. Section 7-148h of the general statutes is repealed and the
297 following is substituted in lieu thereof (*Effective July 1, 2007*):

298 [(a)] Any town, city, district, as defined in section 7-324, or borough
299 may, by charter provision or ordinance, establish a board, commission,
300 council, committee or other agency to investigate allegations of
301 unethical conduct, corrupting influence or illegal activities levied
302 against any official, officer or employee of such town, city, district or
303 borough. The provisions of subsections (a) to (e), inclusive, of section
304 1-82a shall apply to allegations before any such agency of such
305 conduct, influence or activities, to an investigation of such allegations
306 conducted prior to a probable cause finding, and to a finding of
307 probable cause or no probable cause. Any board, commission, council,
308 committee or other agency established pursuant to this section may
309 issue subpoenas or subpoenas duces tecum, enforceable upon
310 application to the Superior Court, to compel the attendance of persons
311 at hearings and the production of books, documents, records and
312 papers.

313 [(b) Notwithstanding the provisions of any special act, municipal
 314 charter or ordinance to the contrary, an elected official of any town,
 315 city, district or borough that has established a board, commission,
 316 council, committee or other agency under subsection (a) of this section,
 317 has an interest that is in substantial conflict with the proper discharge
 318 of the official's duties or employment in the public interest and of the
 319 official's responsibilities as prescribed by the laws of this state, if the
 320 official has reason to believe or expect that the official, the official's
 321 spouse or dependent child, or a business with which he is associated,
 322 as defined in section 1-79, will derive a direct monetary gain or suffer a
 323 direct monetary loss, as the case may be, by reason of the official's
 324 official activity. Any such elected official does not have an interest that
 325 is in substantial conflict with the proper discharge of the official's
 326 duties in the public interest and of the official's responsibilities as
 327 prescribed by the laws of this state, if any benefit or detriment accrues
 328 to the official, the official's spouse or dependent child, or a business
 329 with which he, his spouse or such dependent child is associated as a
 330 member of a profession, occupation or group to no greater extent than
 331 to any other member of such profession, occupation or group. Any
 332 such elected official who has a substantial conflict may not take official
 333 action on the matter.]

334 Sec. 12. (NEW) (*Effective July 1, 2007*) As used in sections 12 to 27,
 335 inclusive, of this act, unless the context otherwise requires:

336 (1) "Administrative action" means any action or nonaction of any
 337 agency of a municipality with respect to the proposal, drafting,
 338 development, consideration, amendment, adoption or repeal of any
 339 rule, regulation, ordinance, referendum, budget or utility rate, and any
 340 action or nonaction of any agency, regarding a contract, grant, award,
 341 purchasing agreement, loan, bond, certificate, license, permit or any
 342 other matter which is within the official jurisdiction or cognizance of
 343 such an agency.

344 (2) "Business organization" means a sole proprietorship,

345 corporation, limited liability company, association, firm or partnership,
346 other than a client lobbyist, which is owned by, or employs one or
347 more individual lobbyists.

348 (3) "Candidate for municipal office" means any person who has filed
349 a declaration of candidacy or a petition to appear on the ballot for
350 election as a municipal official, or who has raised or expended money
351 in furtherance of such candidacy, or who has been nominated for
352 appointment to serve as a municipal official.

353 (4) "Client lobbyist" means a lobbyist on behalf of whom lobbying
354 takes place and who makes expenditures for lobbying and in
355 furtherance of lobbying.

356 (5) "Office" means the Office of State Ethics.

357 (6) "Communicator lobbyist" means a lobbyist who communicates
358 directly or solicits others to communicate with an official or such
359 official's staff in a municipality for the purpose of influencing
360 legislative or administrative action.

361 (7) "Compensation" means any value received or to be received by a
362 person acting as a lobbyist, whether in the form of a fee, salary or
363 forbearance.

364 (8) "Expenditure" means any advance, conveyance, deposit,
365 distribution, transfer of funds, loan, payment, unless expressly
366 excluded; any payments for telephone, mailing, postage, printing and
367 other clerical or office services and materials; any paid
368 communications, costing fifty dollars or more in any calendar year,
369 disseminated by means of any printing, broadcasting or other
370 medium, provided such communications refer to pending
371 administrative or legislative action; any contract, agreement, promise
372 or other obligation; any solicitation or solicitations, costing fifty dollars
373 or more in the aggregate for any calendar year, of other persons to
374 communicate with a municipal official or municipal employee for the

375 purpose of influencing any legislative or administrative act and any
376 pledge, subscription of money or anything of value. "Expenditure"
377 shall not include the payment of a registrant's fee pursuant to section
378 17 of this act, any expenditure made by any club, committee,
379 partnership, organization, business, union, association or corporation
380 for the purpose of publishing a newsletter or other release to its
381 members, shareholders or employees, or contributions, membership
382 dues or other fees paid to associations, nonstock corporations or tax-
383 exempt organizations under Section 501(c) of the Internal Revenue
384 Code of 1986, or any subsequent corresponding internal revenue code
385 of the United States, as from time to time amended.

386 (9) "Gift" means anything of value, which is directly and personally
387 received, unless consideration of equal or greater value is given in
388 return. "Gift" shall not include:

389 (A) A political contribution otherwise reported as required by law
390 or a donation or payment described in subdivision (9) or (11) of
391 subsection (b) of section 9-601a of the general statutes;

392 (B) Services provided by persons volunteering their time;

393 (C) A commercially reasonable loan made on terms not more
394 favorable than loans made in the ordinary course of business;

395 (D) A gift received from (i) the individual's spouse, fiance or fiancée,
396 (ii) the parent, brother or sister of such spouse or such individual, or
397 (iii) the child of such individual or the spouse of such child;

398 (E) Goods or services (i) which are provided to a municipality (I) for
399 use on municipal property, or (II) to support an event or the
400 participation by a municipal official or municipal employee at an
401 event, and (ii) which facilitate municipal action or functions. As used
402 in this subdivision, "municipal property" means property owned or
403 leased by the municipality;

404 (F) A certificate, plaque or other ceremonial award costing less than

405 one hundred dollars;

406 (G) A rebate, discount or promotional item available to the general
407 public;

408 (H) Printed or recorded informational material germane to
409 municipal action or functions;

410 (I) Food or beverage or both, costing less than fifty dollars in the
411 aggregate per recipient in a calendar year, and consumed on an
412 occasion or occasions at which the person paying, directly or
413 indirectly, for the food or beverage, or the person's representative, is in
414 attendance;

415 (J) A gift, including, but not limited to, food or beverage or both,
416 provided by an individual for the celebration of a major life event;

417 (K) Gifts costing less than one hundred dollars in the aggregate or
418 food or beverage provided at a hospitality suite at a meeting or
419 conference of an interstate municipal association, by a person who is
420 not a registrant or is not doing business with the state of Connecticut;

421 (L) Admission to a charitable or civic event, including food and
422 beverage provided at such event, but excluding lodging or travel
423 expenses, at which a municipal official or municipal employee
424 participates in such official's or employee's official capacity, provided
425 such admission is provided by the primary sponsoring entity;

426 (M) Anything of value provided by an employer of (i) a municipal
427 official, (ii) a municipal employee, or (iii) a spouse of a municipal
428 official or municipal employee, to such official, employee or spouse,
429 provided such benefits are customarily and ordinarily provided to
430 others in similar circumstances; or

431 (N) Anything having a value of not more than ten dollars, provided
432 the aggregate value of all things provided by a donor to a recipient
433 under this subdivision in any calendar year shall not exceed fifty

434 dollars.

435 (10) "Immediate family" means any spouse, dependent children or
436 dependent relatives who reside in the individual's household.

437 (11) "Individual" means a natural person.

438 (12) "Legislative action" means introduction, sponsorship,
439 consideration, debate, amendment, passage, defeat, approval, veto,
440 overriding of a veto or any other official action or nonaction with
441 regard to any rule, regulation, ordinance, referendum, budget,
442 measure, resolution, amendment, nomination, appointment, report, or
443 any other matter pending or proposed in a legislative body of a
444 municipality, or any matter which is within the official jurisdiction or
445 cognizance of such legislative body.

446 (13) "Lobbying" means communicating directly or soliciting others
447 to communicate with any official or such official's staff in a
448 municipality, for the purpose of influencing any legislative or
449 administrative action except that the term "lobbying" does not include
450 (A) communications by or on behalf of a party to, or an intervenor in, a
451 contested case, as defined in regulations adopted by the office in
452 accordance with the provisions of chapter 54 of the general statutes,
453 before a municipality, (B) communications by a representative of a
454 vendor or by an employee of the client lobbyist which representative
455 or employee acts as a salesperson and does not otherwise engage in
456 lobbying regarding any administrative action, (C) communications by
457 an attorney made while engaging in the practice of law and regarding
458 any matter other than legislative action or the proposal, drafting,
459 development, consideration, amendment, adoption or repeal of any
460 rule, regulation, ordinance, referendum or budget, (D)
461 notwithstanding the provisions of subparagraph (C) of this
462 subdivision, communications by an attorney, made while engaging in
463 the practice of law, with any official or staff of any agency of the
464 municipality having responsibility for land use decisions or the
465 legislative body of the municipality, concerning legislative action or

466 the proposal, drafting, development, consideration, amendment,
467 adoption or repeal of any rule, regulation, ordinance, referendum or
468 budget, with regard to a land use matter before such agency or
469 legislative body, or (E) other communications exempted by regulations
470 adopted by the office in accordance with the provisions of chapter 54
471 of the general statutes.

472 (14) "Lobbyist" means a person who in lobbying and in furtherance
473 of lobbying, with regard to a single municipality, makes or agrees to
474 make expenditures, or receives or agrees to receive compensation,
475 reimbursement, or both, and such compensation, reimbursement or
476 expenditures for a single municipality are two thousand dollars or
477 more in any calendar year or the combined amount thereof for a single
478 municipality is two thousand dollars or more in any such calendar
479 year. Lobbyist shall not include:

480 (A) A municipal official or municipal employee, or such official's or
481 employee's designee other than an independent contractor, who is
482 acting within the scope of such official's, employee's or designee's
483 authority or employment;

484 (B) An attorney, including, but not limited to, bond counsel or a
485 municipal attorney, who is retained and compensated by a
486 municipality to provide legal services to the municipality, or a
487 financial advisor retained and compensated by a municipality to
488 provide financial advisory services to the municipality;

489 (C) A publisher, owner or an employee of the press, radio or
490 television while disseminating news or editorial comment to the
491 general public in the ordinary course of business;

492 (D) An individual representing such individual or another person
493 before the municipality other than for the purpose of influencing
494 legislative or administrative action;

495 (E) Any individual or employee who receives no compensation or

496 reimbursement specifically for lobbying and who limits such
497 individual's or employee's activities solely to formal appearances to
498 give testimony before public sessions of the legislative body of a
499 municipality and who, if such individual or employee testifies,
500 registers such individual's or employee's appearance in the records of
501 the legislative body;

502 (F) A member of an advisory board acting within the scope of such
503 member's appointment;

504 (G) Any person who receives no compensation or reimbursement
505 specifically for lobbying and who spends no more than five hours
506 lobbying or in furtherance of lobbying, unless such person, exclusive
507 of salary, receives compensation or makes expenditures, or both, of
508 two thousand dollars or more in any calendar year for lobbying or the
509 combined amount thereof is two thousand dollars or more in any such
510 calendar year;

511 (H) A communicator lobbyist who receives or agrees to receive
512 compensation, reimbursement, or both, the aggregate amount of which
513 is less than two thousand dollars from each client in any calendar year;

514 (I) A public official or state employee, as defined in section 1-79 of
515 the general statutes, other than an independent contractor, who is
516 acting within the scope of his or her authority or employment; or

517 (J) A senator or representative in Congress acting within the scope
518 of such senator's or representative's office.

519 (15) "Member of an advisory board" means any person appointed by
520 a municipal official as an advisor or consultant or member of a
521 committee, office or council established to advise, recommend or
522 consult with a municipal official or a branch of municipal government
523 or a committee thereof and who receives no public funds other than
524 per diem payments or reimbursement for such person's actual and
525 necessary expenses incurred in the performance of such person's

526 official duties and who has no authority to expend any public funds or
527 to exercise the power of a municipality.

528 (16) "Municipal official" means any elected municipal officer or any
529 person appointed to any office of a municipality.

530 (17) "Municipal employee" means any employee of a municipality,
531 whether in the classified or unclassified service and whether full or
532 part-time.

533 (18) "Municipality" means any city, town, borough, municipal
534 corporation, municipal authority, school district, regional district,
535 metropolitan district or other district.

536 (19) "Person" means an individual, a business, corporation, limited
537 liability company, union, association, firm, partnership, committee,
538 club or other organization or group of persons.

539 (20) "Political contribution" has the same meaning as in section 9-
540 601a of the general statutes except that for purposes of sections 12 to
541 27, inclusive, of this act, the provisions of subsection (b) of section 9-
542 601a of the general statutes shall not apply.

543 (21) "Registrant" means a person who is required to register
544 pursuant to section 16 of this act.

545 (22) "Reimbursement" means any money or thing of value received
546 or to be received in the form of payment for expenses as a lobbyist, not
547 including compensation.

548 Sec. 13. (NEW) (*Effective July 1, 2007*) The Office of State Ethics shall:

549 (1) Adopt regulations in accordance with chapter 54 of the general
550 statutes to carry out the purposes of sections 12 to 27, inclusive, of this
551 act. The office shall adopt regulations which further clarify the
552 meaning of the terms "directly and personally received" and "major life
553 event", as used in section 12 of this act;

554 (2) Compile and maintain an index of all reports and statements
555 filed with the office under the provisions of sections 12 to 27, inclusive,
556 of this act and advisory opinions issued by the office with regard to the
557 requirements of said sections, to facilitate public access to such reports,
558 statements and advisory opinions promptly upon the filing or issuance
559 thereof;

560 (3) Prepare quarterly and annual summaries of statements and
561 reports filed with the office and advisory opinions issued by the office;

562 (4) Preserve advisory opinions permanently and preserve
563 memoranda filed under subsection (b) of section 14 of this act,
564 statements and reports filed by and with the office for a period of five
565 years from the date of receipt;

566 (5) Upon the concurring vote of four of its members, the Citizen's
567 Ethics Advisory Board shall issue advisory opinions with regard to the
568 requirements of this part, upon the request of any person, subject to
569 the provisions of sections 12 to 27, inclusive, of this act, and publish
570 such advisory opinions in the Connecticut Law Journal. Advisory
571 opinions rendered by the office, until amended or revoked, shall be
572 binding on the office and shall be deemed to be final decisions of the
573 office for purposes of section 25 of this act. Any advisory opinion
574 concerning any person subject to the provisions of sections 12 to 27,
575 inclusive, of this act who requested the opinion and who acted in
576 reliance thereon, in good faith, shall be binding upon the office, and it
577 shall be an absolute defense in any criminal action brought under the
578 provisions of said sections that the accused acted in reliance upon such
579 advisory opinion;

580 (6) Report annually, prior to February fifteenth, to the Governor
581 summarizing the activities of the office concerning sections 12 to 27,
582 inclusive, of this act; and

583 (7) Employ necessary staff within available appropriations to carry
584 out the purposes of sections 12 to 27, inclusive, of this act.

585 Sec. 14. (NEW) (*Effective July 1, 2007*) (a) (1) Upon the complaint of
586 any person on a form prescribed by the Office of State Ethics, signed
587 under penalty of false statement, or upon its own complaint, the office
588 shall investigate any alleged violation of sections 12 to 27, inclusive, of
589 this act. Not later than five days after the receipt or issuance of such
590 complaint, the office shall provide notice of such receipt or issuance
591 and a copy of the complaint by registered or certified mail to any
592 respondent against whom such complaint is filed and shall provide
593 notice of the receipt of such complaint to the complainant. When the
594 office undertakes an evaluation of a possible violation of sections 12 to
595 27, inclusive, of this act prior to the filing of a complaint by the office,
596 the subject of the evaluation shall be notified within five business days
597 after a office staff member's first contact with a third party concerning
598 the matter.

599 (2) In the conduct of its investigation of an alleged violation of
600 sections 12 to 27, inclusive, of this act, the office shall have the power
601 to hold hearings, administer oaths, examine witnesses, receive oral and
602 documentary evidence, subpoena witnesses under procedural rules
603 adopted by the office as regulations in accordance with the provisions
604 of chapter 54 of the general statutes to compel attendance before the
605 office and to require the production for examination by the office of
606 any document or physical evidence that the office deems relevant in
607 any matter under investigation or in question. In the exercise of such
608 powers, the office may use the services of the state police, who shall
609 provide the same upon the office's request. The office shall make a
610 record of all proceedings conducted pursuant to this subsection. Any
611 witness summoned before the office shall receive the witness fee paid
612 to witnesses in the courts of this state. The respondent shall have the
613 right to appear and be heard and to offer any information which may
614 tend to clear the respondent of probable cause to believe that the
615 respondent has violated any provision of sections 12 to 27, inclusive, of
616 this act. The respondent shall also have the right to be represented by
617 legal counsel and to examine and cross-examine witnesses. Not later
618 than ten days prior to the commencement of any hearing conducted

619 pursuant to this subsection, the office shall provide the respondent
620 with a list of its intended witnesses. The office shall make no finding
621 that there is probable cause to believe the respondent is in violation of
622 sections 12 to 27, inclusive, of this act, except upon the concurring vote
623 of four of its members.

624 (b) If a preliminary investigation indicates that probable cause exists
625 for the violation of a provision of sections 12 to 27, inclusive, of this act,
626 the office shall initiate hearings to determine whether there has been a
627 violation of said sections. A judge trial referee, who shall be assigned
628 by the Chief Court Administrator and who shall be compensated in
629 accordance with section 52-434 of the general statutes out of funds
630 available to the office, shall preside over such hearing and shall rule on
631 all matters concerning the application of the rules of evidence, which
632 shall be the same as in judicial proceedings. The trial referee shall have
633 no vote in any decision of the office. All hearings of the office held
634 pursuant to this subsection shall be open. At such hearing the office
635 shall have the same powers as under subsection (a) of this section and
636 the respondent shall have the right to be represented by legal counsel,
637 the right to compel attendance of witnesses and the production of
638 books, documents, records and papers and to examine and cross-
639 examine witnesses. Not later than ten days prior to the commencement
640 of any hearing conducted pursuant to this subsection, the office shall
641 provide the respondent with a list of its intended witnesses. The judge
642 trial referee shall, while engaged in the discharge of the judge trial
643 referee's duties as provided in this subsection, have the same authority
644 as is provided in section 51-35 of the general statutes over witnesses
645 who refuse to obey a subpoena or to testify with respect to any matter
646 upon which such witness may be lawfully interrogated, and may
647 commit any such witness for contempt for a period no longer than
648 thirty days. The office shall make a record of all proceedings pursuant
649 to this subsection. The office shall find no person in violation of any
650 provision of sections 12 to 27, inclusive, of this act except upon the
651 concurring vote of five of the members of the Citizen's Ethics Advisory
652 Board. Not later than fifteen days after the public hearing conducted in

653 accordance with this subsection, the office shall publish its finding and
654 a memorandum of the reasons for such finding. Such finding and
655 memorandum shall be deemed to be the final decision of the office on
656 the matter for the purposes of chapter 54 of the general statutes. The
657 respondent, if aggrieved by the finding and memorandum, may
658 appeal therefrom to the Superior Court in accordance with the
659 provisions of section 4-183 of the general statutes.

660 (c) If any complaint brought under the provisions of sections 12 to
661 27, inclusive, of this act is made with the knowledge that it is made
662 without foundation in fact, the respondent shall have a cause of action
663 against the complainant for double the amount of damage caused
664 thereby and if the respondent prevails in such action, the respondent
665 may be awarded by the court the costs of such action together with
666 reasonable attorneys' fees.

667 (d) No complaint may be made under this section except within
668 three years next after the violation alleged in the complaint has been
669 committed.

670 (e) No person shall take or threaten to take official action against an
671 individual for such individual's disclosure of information to the office
672 under the provisions of sections 12 to 27, inclusive, of this act. After
673 receipt of information from an individual under the provisions of
674 sections 12 to 27, inclusive, of this act, the office shall not disclose the
675 identity of such individual without the individual's consent unless the
676 office determines that such disclosure is unavoidable during the course
677 of an investigation.

678 Sec. 15. (NEW) (*Effective July 1, 2007*) (a) Unless the Office of State
679 Ethics makes a finding of probable cause, a complaint alleging a
680 violation of sections 12 to 27, inclusive, of this act shall be confidential
681 except upon the request of the respondent. An office evaluation of a
682 possible violation of said sections undertaken prior to a complaint
683 being filed by the office shall be confidential except upon the request of
684 the subject of the evaluation. If the evaluation is confidential, no

685 information supplied to or received from the office shall be disclosed
686 to any third party by a subject of the evaluation, a person contacted for
687 the purpose of obtaining information or by an office staff or board
688 member. No provision of this subsection shall prevent the office from
689 reporting the possible commission of a crime to the Chief State's
690 Attorney or other prosecutorial authority.

691 (b) An investigation conducted prior to a probable cause finding
692 shall be confidential except upon the request of the respondent. If the
693 investigation is confidential, the allegations in the complaint and any
694 information supplied to or received from the office shall not be
695 disclosed during the investigation to any third party by a complainant,
696 respondent, witness, designated party, or office of staff or board
697 member.

698 (c) Not later than three business days after the termination of the
699 investigation, the office shall inform the complainant and the
700 respondent of its finding and provide them a summary of its reasons
701 for making that finding. The office shall publish its finding upon the
702 respondent's request and may also publish a summary of its reasons
703 for making such finding.

704 (d) If the office makes a finding of no probable cause, the complaint
705 and the record of its investigation shall remain confidential, except
706 upon the request of the respondent and except that some or all of the
707 record may be used in subsequent proceedings. No complainant,
708 respondent, witness, designated party, or office staff or board member
709 shall disclose to any third party any information learned from the
710 investigation, including knowledge of the existence of a complaint,
711 which the disclosing party would not otherwise have known. If such a
712 disclosure is made, the office may, after consultation with the
713 respondent if the respondent is not the source of the disclosure,
714 publish its finding and a summary of its reasons therefor.

715 (e) The office shall make public a finding of probable cause not later
716 than five business days after the termination of the investigation. At

717 such time the entire record of the investigation shall become public,
718 except that the office may postpone examination or release of such
719 public records for a period not to exceed fourteen days for the purpose
720 of reaching a stipulation agreement pursuant to subsection (c) of
721 section 4-177 of the general statutes.

722 Sec. 16. (NEW) (*Effective July 1, 2007*) (a) A lobbyist shall register
723 with the Office of State Ethics pursuant to section 17 of this act for
724 lobbying a municipality if the lobbyist:

725 (1) Receives or agrees to receive compensation or reimbursement for
726 actual expenses, or both, in a combined amount of two thousand
727 dollars or more in a calendar year for lobbying said municipality,
728 whether that receipt of compensation or reimbursement or agreement
729 to receive such compensation or reimbursement is solely for lobbying
730 or the lobbying is incidental to that person's regular employment; or

731 (2) Makes or incurs an obligation to make expenditures of two
732 thousand dollars or more in a calendar year for lobbying said
733 municipality.

734 (b) A lobbyist shall register separately with the office for each
735 municipality for which the lobbyist meets the threshold requirement
736 for registering under subsection (a) of this section.

737 (c) A person who is a lobbyist pursuant to part II of chapter 10 of the
738 general statutes and is required to register with the office pursuant to
739 section 1-94 of the general statutes shall register separately with the
740 office for each municipality for which the lobbyist meets the threshold
741 requirement for registering under subsection (a) of this section.

742 Sec. 17. (NEW) (*Effective July 1, 2007*) (a) Each registrant shall file
743 annually with the Office of State Ethics on a separate registration form
744 for each municipality for which the registrant meets the threshold
745 requirement for registering under subsection (a) of section 16 of this
746 act, except that a registrant representing a regional or state-wide trade

747 association or similar entity, which lobbies in more than one
748 municipality, shall be required to file only one registration on behalf of
749 such association or entity per registration period and such association
750 or entity shall also be required to file only one registration per
751 registration period. The registrant shall sign each such form under
752 penalty of false statement and file such forms with the office on or
753 before January fifteenth or prior to the commencement of lobbying,
754 whichever is later. If the registrant is not an individual, an authorized
755 officer or agent of the registrant shall sign each form. Such registration
756 or registrations shall be on a form prescribed by the office and shall
757 include:

758 (1) If the registrant is an individual, the registrant's name,
759 permanent address and temporary address while lobbying and the
760 name, address and nature of business of any person who compensates
761 or reimburses, or agrees to compensate or reimburse the registrant and
762 the terms of the compensation, reimbursement or agreement, but shall
763 not include the compensation paid to an employee for the employee's
764 involvement in activities other than lobbying;

765 (2) If the registrant is a corporation, the name, address, place of
766 incorporation and the principal place of business of the corporation;

767 (3) If the registrant is an association, group of persons or an
768 organization, the name and address of the principal officers and
769 directors of such association, group of persons or organization. If the
770 registrant is formed primarily for the purpose of lobbying, it shall
771 disclose the name and address of any person contributing two
772 thousand dollars or more to the registrant's lobbying activities in any
773 calendar year;

774 (4) If the registrant is not an individual, the name and address of
775 each individual who will lobby on the registrant's behalf; and

776 (5) The name of the municipality that the registrant is lobbying and
777 the identification, with reasonable particularity, of areas of legislative

778 action or administrative action on which the registrant expects to
779 lobby.

780 (b) Each registrant shall pay a reasonable fee not in excess of the cost
781 of administering each registration form filed by the registrant under
782 subsection (a) of this section in a calendar year, plus the cost of
783 collecting, filing, copying and distributing the information filed by
784 registrants under section 18 of this act, but not less than twenty-five
785 dollars.

786 (c) Each registrant shall file a notice of termination within thirty
787 days after the registrant ceases the activity that required registration,
788 provided the registrant does not intend to resume the activity during
789 the annual period for which the registrant is registered. The
790 termination of a registration shall not relieve the registrant of the
791 reporting requirements of section 18 of this act for the period
792 preceding the date that the registrant's notice of termination is received
793 by the office or for the period commencing on such date and ending on
794 December thirty-first of the year in which termination occurs.

795 Sec. 18. (NEW) (*Effective July 1, 2007*) (a) Each client lobbyist
796 registrant shall file with the Office of State Ethics between the first and
797 tenth day of April, July, October and January a financial report, signed
798 under penalty of false statement. Each report shall cover its lobbying
799 activities during the previous calendar quarter. If the client lobbyist
800 registrant is not an individual, an authorized officer or agent of the
801 client lobbyist registrant shall sign the form.

802 (b) Each individual communicator lobbyist registrant and each
803 business organization communicator lobbyist registrant shall file with
804 the office between the first and tenth day of January a report or
805 reports, signed under penalty of false statement, reporting the
806 amounts of compensation and reimbursement received from each of
807 the registrant's clients during the previous year. In addition, each
808 individual communicator lobbyist registrant and each business
809 organization communicator lobbyist registrant shall: (1) Report the

810 fundamental terms of contracts, agreements or promises to pay or
811 receive compensation or reimbursement or to make expenditures in
812 furtherance of lobbying, including the categories of work to be
813 performed and the dollar value or compensation rate of the contract, at
814 the time of registration; (2) report, in accordance with the schedule set
815 forth in subsection (a) of this section, any amendments to these
816 fundamental terms, including any agreements to subcontract lobbying
817 work; and (3) report, in accordance with the schedule set forth in
818 subsection (a) of this section, any expenditures for the benefit of a
819 municipal official or a member of the staff or immediate family of the
820 municipal official that are unreimbursed and required to be itemized.
821 Such report shall not include the disclosure of food and beverage
822 provided by a communicator lobbyist registrant to a municipal official
823 or a member of the municipal official's staff or immediate family at a
824 major life event, as defined by the office, of the registrant. All such
825 information shall be reported under penalty of false statement.

826 (c) An individual communicator lobbyist registrant shall file a
827 separate report for each person from whom the registrant received
828 compensation or reimbursement. Notwithstanding any provision of
829 this subsection to the contrary, a business organization to which one or
830 more individual communicator lobbyist registrants belongs may file a
831 single report for each client lobbyist in lieu of any separate reports that
832 individual registrants are required to file pursuant to this subsection.

833 (d) Each registrant who files a notice of termination under
834 subsection (c) of section 17 of this act shall file with the office a
835 financial report, under penalty of false statement, between the first and
836 tenth day of January of the year following termination.

837 (e) Each client lobbyist registrant financial report shall be on a form
838 prescribed by the office and shall state expenditures made and the
839 fundamental terms of contracts, agreements or promises to pay
840 compensation or reimbursement or to make expenditures in
841 furtherance of lobbying. Any such fundamental terms shall be

842 reported once in the quarterly or post-termination report next
843 following the entering into of such contract. Such financial report shall
844 include an itemized statement of each expenditure of ten dollars or
845 more per person for each occasion made by the reporting registrant or
846 a group of registrants that includes the reporting registrant for the
847 benefit of a municipal official or a member of the municipal official's
848 staff or immediate family, itemized by date, beneficiary, amount and
849 circumstances of the transaction. The requirement of an itemized
850 statement shall not apply to an expenditure made by a reporting
851 registrant or a group of registrants which includes the reporting
852 registrant for benefits personally and directly received by a municipal
853 official or municipal employee at a charitable or civic event at which
854 the municipal official or municipal employee participates in such
855 official's or employee's official capacity, unless the expenditure is thirty
856 dollars or more per person, per event. If the compensation is required
857 to be reported for an individual whose lobbying is incidental to such
858 individual's regular employment, it shall be sufficient to report a
859 prorated amount based on the value of the time devoted to lobbying.
860 On the first financial report following registration each client lobbyist
861 registrant shall include any expenditures incident to lobbying activities
862 that were received or expended prior to registration and not
863 previously reported to the office.

864 (f) The office shall, by regulations adopted in accordance with
865 chapter 54 of the general statutes, establish minimum amounts for each
866 item required to be reported, below which reporting may be made in
867 the aggregate. The provisions of this subsection shall not apply to
868 expenditures made for the benefit of a municipal official or a member
869 of such person's staff or immediate family.

870 (g) Each former registrant shall (1) report receipts or expenditures
871 incident to lobbying activities during the former registrant's period of
872 registration that are received or expended following termination of
873 registration, and (2) report each expenditure of ten dollars or more per
874 person for each occasion made by the former registrant for the benefit

875 of a municipal official or a member of such official's immediate family
876 or staff that occurs within six months after termination of registration.

877 (h) The office shall, not later than thirty days after receipt of a
878 financial report that contains the name of a municipal official or a
879 member of such official's staff or immediate family, send a written
880 notice to such official of the filing of the report and the name of the
881 person who filed it.

882 Sec. 19. (NEW) (*Effective July 1, 2007*) (a) Each registrant shall obtain
883 and preserve all accounts, bills, receipts and other documents
884 necessary to substantiate the financial reports required by section 18 of
885 this act for a period of three years from the date of the filing of the
886 report referring to such financial matters, provided this section shall
887 apply to each expenditure for the benefit of a municipal official of ten
888 dollars or more and all other expenditures of fifty dollars or more.

889 (b) The Office of State Ethics may require, on a random basis, any
890 registrant to make all such documents substantiating financial reports
891 concerning lobbying activities available for inspection and copying by
892 the office for the purpose of verifying such financial reports, provided
893 no registrant shall be subject to such requirement more than one time
894 during any three consecutive years. The office shall select registrants to
895 be audited by lot in a ceremony which shall be open to the public.
896 Nothing in this subsection shall require a registrant to make any
897 documents concerning nonlobbying activities available to the office for
898 inspection and copying.

899 Sec. 20. (NEW) (*Effective July 1, 2007*) Each registrant required to file
900 any financial reports under section 18 of this act shall do so in
901 electronic form using the electronic filing program developed by the
902 Office of State Ethics.

903 Sec. 21. (NEW) (*Effective July 1, 2007*) The Office of State Ethics shall
904 make all computerized data from financial reports required by section
905 18 of this act available to the public through (1) a computer terminal in

906 the Office of State Ethics, and (2) the Internet or any other generally
907 available on-line computer network.

908 Sec. 22. (NEW) (*Effective July 1, 2007*) Each registrant who pays or
909 reimburses a municipal official or municipal employee ten dollars or
910 more for necessary expenses shall, within thirty days, file a statement
911 with the office indicating the name of such individual and the amount
912 of the expenses. As used in this section, "necessary expenses" means a
913 municipal official's or municipal employee's expenses for an article,
914 appearance or speech or for participation at an event, in such official's
915 or employee's official capacity, which shall be limited to necessary
916 travel expenses, lodging for the nights before, of and after the
917 appearance, speech or event, meals and any related conference or
918 seminar registration fees.

919 Sec. 23. (NEW) (*Effective July 1, 2007*) (a) No registrant or anyone
920 acting on behalf of a registrant shall knowingly give a gift to any
921 municipal official, municipal employee, candidate for municipal office
922 or a member of any such person's staff or immediate family. Nothing
923 in this section shall be construed to permit any activity prohibited
924 under section 53a-147 or 53a-148 of the general statutes.

925 (b) No person or business organization shall be employed to lobby
926 for compensation which is contingent upon the outcome of any
927 administrative or legislative action. No person shall employ a lobbyist
928 or business organization for compensation that is contingent upon the
929 outcome of any administrative or legislative action.

930 (c) No lobbyist may: (1) Do anything with the purpose of placing
931 any municipal official under personal obligation; (2) attempt to
932 influence any legislative action or administrative action for the
933 purpose of thereafter being employed to secure its defeat; or (3) cause
934 any communication to be sent to any municipal official in the name of
935 any other individual except with the consent of such individual.

936 (d) Any person who gives to a municipal official, municipal

937 employee or candidate for municipal office, or a member of any such
938 person's staff or immediate family anything of value which is subject
939 to the reporting requirements pursuant to subsection (e) of section 18
940 of this act shall, not later than ten days thereafter, give such recipient a
941 written report stating the name of the donor, a description of the item
942 or items given, the value of such items and the cumulative value of all
943 items given to such recipient during that calendar year. The provisions
944 of this subsection shall not apply to a political contribution otherwise
945 reported as required by law.

946 Sec. 24. (NEW) (*Effective July 1, 2007*) Any person aggrieved by any
947 final decision of the Office of State Ethics, made pursuant to sections 12
948 to 27, inclusive, of this act, may appeal such decision in accordance
949 with the provisions of section 4-175 or 4-183 of the general statutes.

950 Sec. 25. (NEW) (*Effective July 1, 2007*) (a) The Office of State Ethics,
951 upon a finding made pursuant to section 14 of this act that there has
952 been a violation of any provision of sections 12 to 27, inclusive, of this
953 act, shall have the authority to order the violator to do any or all of the
954 following: (1) Cease and desist the violation of said sections; (2) file
955 any report, statement or other information as required by said sections;
956 or (3) pay a civil penalty of not more than two thousand dollars for
957 each violation of said sections. The office may prohibit any person who
958 intentionally violates any provision of said sections from engaging in
959 the profession of lobbyist for a period of not more than two years. In
960 addition to such provisions, the office may impose a civil penalty on
961 any person who violates subsection (b) of section 23 of this act by
962 receiving, agreeing to receive, paying, or agreeing to pay,
963 compensation that is contingent upon the outcome of any
964 administrative or legislative action or by terminating a lobbying
965 contract as the result of the outcome of an administrative action or
966 legislative action. The civil penalty shall not exceed the total amount of
967 compensation that the person was required to pay or be paid under the
968 contingent compensation agreement. No person may benefit from an
969 agreement that violates subsection (b) of section 23 of this act.

970 (b) Notwithstanding the provisions of subsection (a) of this section,
 971 the office may, after a hearing conducted in accordance with sections
 972 4-176e to 4-184, inclusive, of the general statutes upon the concurring
 973 vote of five of its members, impose a civil penalty not to exceed ten
 974 dollars per day upon any registrant who fails to file any report,
 975 statement or other information as required by sections 12 to 27,
 976 inclusive, of this act. Each distinct violation of this subsection shall be a
 977 separate offense and, in case of a continued violation, each day thereof
 978 shall be deemed a separate offense. In no event shall the aggregate
 979 penalty imposed for such failure to file exceed two thousand dollars.

980 (c) The office may also report its finding to the Chief State's
 981 Attorney for any action deemed necessary.

982 Sec. 26. (NEW) (*Effective July 1, 2007*) Any person who intentionally
 983 violates any provision of sections 12 to 27, inclusive, of this act shall be
 984 imprisoned for a term not to exceed one year or shall be fined an
 985 amount not to exceed two thousand dollars, or both.

986 Sec. 27. (NEW) (*Effective July 1, 2007*) Each individual who is a
 987 lobbyist shall, while engaged in lobbying, wear a distinguishing badge
 988 which shall identify the individual as a lobbyist. The size, color,
 989 material and other requirements of such badge shall be prescribed by
 990 regulation of the Office of State Ethics.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>July 1, 2007</i>	New section
Sec. 2	<i>July 1, 2007</i>	New section
Sec. 3	<i>July 1, 2007</i>	New section
Sec. 4	<i>July 1, 2007</i>	New section
Sec. 5	<i>July 1, 2007</i>	New section
Sec. 6	<i>July 1, 2007</i>	New section
Sec. 7	<i>July 1, 2007</i>	New section
Sec. 8	<i>July 1, 2007</i>	New section
Sec. 9	<i>July 1, 2007</i>	New section

Sec. 10	<u>July 1, 2007</u>	7-148(c)(10)
Sec. 11	<u>July 1, 2007</u>	7-148h
Sec. 12	<u>July 1, 2007</u>	New section
Sec. 13	<u>July 1, 2007</u>	New section
Sec. 14	<u>July 1, 2007</u>	New section
Sec. 15	<u>July 1, 2007</u>	New section
Sec. 16	<u>July 1, 2007</u>	New section
Sec. 17	<u>July 1, 2007</u>	New section
Sec. 18	<u>July 1, 2007</u>	New section
Sec. 19	<u>July 1, 2007</u>	New section
Sec. 20	<u>July 1, 2007</u>	New section
Sec. 21	<u>July 1, 2007</u>	New section
Sec. 22	<u>July 1, 2007</u>	New section
Sec. 23	<u>July 1, 2007</u>	New section
Sec. 24	<u>July 1, 2007</u>	New section
Sec. 25	<u>July 1, 2007</u>	New section
Sec. 26	<u>July 1, 2007</u>	New section
Sec. 27	<u>July 1, 2007</u>	New section

Statement of Purpose:

To provide for municipal codes of ethics and the regulation of municipal lobbyists in the same manner as the regulation of state lobbyists.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]